

FINANCIAL DISCLOSURE FORM

The information requested in this form is required pursuant to Chapter 2.32.040 - "Code of Ethics, Financial Disclosure" of the Code of the Town of Emmitsburg and is to be disclosed annually, not later than April 30. Outgoing Officials must file 60 days after leaving office. Pursuant to the Code, the requested information must be provided by the following:

- Elected officials of the town;
- Elected official candidates;
- Town Manager;
- Town Clerk; and
- Town Accountant

The information requested in this form shall apply to circumstances as they existed during the preceding calendar year, i.e., 2016 ("the reporting period").

For the purposes of Section I., II., and III (below) an "interest" which you hold includes the following:

- 1) an interest held by a member of your immediate family, if the interest was, at any time during the reporting period, directly or indirectly controlled by you.
- 2) an interest held by a business entity in which you held a 30% or greater interest at any time during the reporting period.
- 3) an interest held by a trust or an estate in which, at any time during the reporting period:
 - (i) you held a reversionary interest or was a beneficiary; or
 - (ii) if a revocable trust, you are a settlor.

I. List any interest you hold in real property wherever located.

Property 1:

Nature: Commercial ☐ Residential ☐ Other ☐ _____

Property Street Address or mailing address or legal description:

Nature and Extent of your Interest in Property:

Name of any other person with an interest in the Property:

Description of any Encumbrances or Conditions on the Property:

Acquisition: Date:

Grantor:

Manner in which acquired:

Fair Market Value at time of acquisition (if acquired other than by purchase):

Type and amount of consideration paid (if acquired by purchase):

Was any interest in this property, either in whole or in part, transferred during this reporting period?

If yes: Portion of interest transferred (%):

Description:

Nature and Amount of consideration received:

Transferee(s):

Property 2:

Nature: Commercial ☐ Residential ☐ Other ☐ _____

Property Street Address or mailing address or legal description:

Nature and Extent of your Interest in Property:

Name of any other person with an interest in the Property:

Description of any Encumbrances or Conditions on the Property:

Acquisition: Date:

Grantor:

Manner in which acquired:

Fair Market Value at time of acquisition (If acquired other than by purchase):

Type and amount of Consideration paid (if acquired by purchase):

Was any interest in this property, either in whole or in part, transferred during this reporting period?

If yes: Portion of interest transferred (%):

Description:

Nature and Amount of consideration received:

Transferee(s):

List additional properties on a separate sheet. Indicate here the number of attached sheets: ____

II. Interest in corporations or partnerships.

This section relates to all interests in any corporation, partnership, limited liability partnership or limited liability corporation regardless of whether the corporation or partnership does business with the town.

Name of entity:

Principal Office Address:

Nature and amount of interest held:

Encumbrances and conditions on entity:

Was any interest transferred, either in whole or in part, during this reporting period?

If yes: When?

Description of interest transferred:

Identity of Transferee:

Nature and amount of consideration:

If other than by purchase, Fair Market Value at time:

Shares held, or % of equity interest held

Was any interest acquired, either in whole or in part, during this reporting period?

If yes: When:

Description of interest acquired:

Identity of Transferor:

Manner in which acquired:

Nature and amount of consideration (if acquired by purchase):

Fair Market Value of interest acquired (if acquired other than by purchase):

For an interest held in a corporation, instead of reporting a dollar amount, you may report the number of shares held in the corporation, or if the corporation is not publicly traded, the percentage of equity interest held.

For an interest held in a partnership, instead of reporting a dollar amount, you may report the percentage of equity interest held.

III. Business entities doing business with the town.

This section requires you to disclose information relating to any interest you may have in business entities doing business with the town (other than those reported in the preceding section).

Name of business:

Principal Office Address:

Nature and amount of Interest held:

Encumbrances and conditions on interest:

Was any interest transferred during this reporting period?

If yes: When:

Description of Interest transferred:

Identity of transferee:

Nature and amount of consideration received:

Was any interest acquired during this reporting period?

If yes: When:

Manner in which acquired:

Identity of Transferor:

Nature and amount of consideration (if by purchase):

Fair Market Value of interest when acquired (if other than by purchase):

IV. Gifts

State whether you received, directly or indirectly, any gifts in excess of \$20 in value (or series totaling \$100 or more in value) during the preceding calendar year from or on behalf of, directly or indirectly, any person who does business with or who is regulated by the Town of Emmitsburg. If so, identify the donor of the gift, the nature of the gift, and its approximate retail value at the time of receipt. (A "gift"

includes the transfer of anything or service of value for which the recipient has not furnished identifiable and adequate consideration)

Yes ☐ No ☐

If yes: Nature and retail value of gift:

Name of donor:

V. Employment or interest in entities doing business with the town.

Have you or has any member of your immediate family, during the reporting period, been a salaried employee of, or held a position as an officer or director or other similar position, with any entity which does business or did business during the reporting period with the Town of Emmitsburg?

If so, state:

Title and nature of position held with business:

Position held by self or by family member: (provide name of family member and relationship to you):

Beginning date of holding position:

Name of Business:

Principal Office Address:

Nature of business relationship with town:

VI. Indebtedness to entities doing business with the town.

State whether you were, during the reporting period, indebted to any entity or person doing business with the Town. Include any indebtedness owed by a member of your immediate family if you were involved in the transaction giving rise to the indebtedness. "Indebtedness" does not include retail credit accounts.

If so, state:

Whether the debt was incurred by yourself or by a family member:

Identity of family member, if applicable, and relationship to you:

Identity of Creditor:

Date liability incurred:

Liability amount as of end of reporting year:

Terms for repayment of the liability:

The amount the debt either increased or decreased during reporting period:

Security pledged or given for the indebtedness:

VII. Employment by Town

State whether you or any members of your immediate family were employed by the Town of Emmitsburg at any time during the reporting period? If so, identify each such family member and the nature of the employment.

VIII. Employment Income.

Did you, or did any member of your immediate family**, receive earned income during the reporting period from either employment or from a business entity in which you or a member of your immediate family were a sole or partial owner? If so, state:

Name and address of each place of employment or of each business entity:

Identity of the person earning the income:

Note: You are not required to disclose a minor child's employment or business ownership if the Town agency that employs you does not regulate, exercise authority over, or contract with the place of employment or business entity of the minor child.

IX. Additional disclosure interests.

You may provide any other additional interests or information that you wish to disclose:

I, _____, do declare and affirm under the penalties of perjury that the statements made in this Financial Disclosure Statement are true and correct to the best of my knowledge, information and belief.

(Signature)

Date

Witness

Date